

**Committee on Science, Space, and Technology
U.S. House of Representatives
Witness Disclosure Requirement - "Truth in Testimony"
Required by House Rule XI, Clause 2(g)(5)**

| | | |
|--|----------|---------|
| 1. Your Name: Phillip B Duffy | | |
| 2. Are you testifying on behalf of the Federal, or a State or local government entity? | Yes | No X |
| 3. Are you testifying on behalf of an entity that is not a government entity? | Yes X | No |
| 4. Other than yourself, please list which entity or entities you are representing: The Woods Hole Research Center | | |
| 5. Please list any Federal grants, cooperative agreements, or contracts (including subgrants or subcontracts) that <u>you or the entity you represent have received on or after December 31, 2015:</u> We have had research grants from NASA, NSF, DoE, USAID, US Forest Service, US Geological Survey. These are listed in attachment. | | |
| 6. Please list any foreign government payments that <u>you or the entity you represent have received on or after December 31, 2015:</u> We have had research grants from the governments of El Salvador, and Norway and from the United Nations Food and Agriculture Organization. These are listed in attachment. | | |
| 7. If your answer to the question in item 3 in this form is "yes," please describe your position or representational capacity with the entity(ies) you are representing: President and Executive Director | | |
| 8. If your answer to the question in item 3 is "yes," do any of the entities disclosed in item 4 have parent organizations, subsidiaries, or partnerships that you are not representing in your testimony? | Yes | No X |
| 9. If the answer to the question in item 3 is "yes," please list any Federal grants, cooperative agreements, or contracts (including subgrants or subcontracts) that were received by the entities listed under the question in item 4 on or after December 31, 2015, that exceed 10 percent of the revenue of the entities in the year received, including the source and amount of each grant or contract to be listed: None | | |

I certify that the above information is true and correct.

Signature: Philip B. Duffy Date: May 14, 2018

The Woods Hole Research Center, Inc.
Funding from December 2015 to date
05/14/2018

| PRIME | SUBAWARD | PROGRAM |
|--------------------------|------------------------------|--|
| US GOVERNMENT | | |
| DOE | | Office of Science |
| NASA | State University of New York | Climate & Biological Response |
| NASA | University of Maryland | GEDI |
| NASA | | NIP - Earth Science |
| NASA | | ROSES |
| NASA | Virginia Tech | ROSES |
| NASA | | ROSES - ABoVE |
| NASA | Northern Arizona University | ROSES - ABoVE |
| NASA | | ROSES - Carbon Cycle |
| NASA | University of Maryland | ROSES - Carbon Cycle |
| NASA | | ROSES - Carbon Monitoring System |
| NASA | University of Colorado | ROSES - CMAC (Computational Modeling Algorithms & Cyberinfrastructure) |
| NASA | | ROSES - ICESAT |
| NASA | | ROSES - Interdisciplinary Science |
| NASA | Colorado State University | ROSES - Rapid Response |
| NASA | Northern Arizona University | ROSES - Terrestrial Ecology |
| NASA | | SERVIR |
| NSF | | DEB - Belmont |
| NSF | | DEB - Ecosystem Studies |
| NSF | | DEB - Environmental Biology |
| NSF | | DUE - Division of Undergraduate Education |
| NSF | | EAR - Division of Earth Sciences |
| NSF | | EF - Macrosystem Biology |
| NSF | Tufts University | PLR - Polar Programs |
| NSF | | PLR - Polar Programs |
| USAID | The Nature Conservancy | Global Climate Change Program |
| USAID | University of Florida | Higher Education for Development Program |
| USDA FS | | FS - IP (International Program) |
| USDA/NIFA | | NIFA-AFRI |
| USFS | | USFS - Northern Research Station |
| USGS | | Eastern Geographic System Center (Silva Carbon) |
| FOREIGN | | |
| Ministry of El Salvadore | | Ministry of El Salvadore |
| NORAD | IPAM | NORAD - OCF |
| NICFI | IPAM | Royal Norwegian Embassy |
| FOA of the UN | | Food & Agricultural Organization |
| NORAD | Ecociencia | NORAD |

Enclosure 4

False Statements Act Certification

You are specifically advised that providing false information to this Committee/Subcommittee, or concealing material information from this Committee/Subcommittee, is a crime, and you can be punished for that. If you acknowledge this, please sign the bottom of this form and return to the Committee. This form will be made part of the hearing record.

Philip S. Zeller

Witness signature

May 14, 2018

Date